

**BOARD OF REGENTS and its
AUDIT, COMPLIANCE AND TITLE IX COMMITTEE
NEVADA SYSTEM OF HIGHER EDUCATION**

Desert Research Institute
Frank H. Rogers Science & Technology Building, Rotunda
755 East Flamingo Road, Las Vegas

Thursday, September 9, 2021

Video Conference Connection from the Meeting Site to:

Members Present:

Dr. Patrick R. Carter, Chair
Mrs. Carol Del Carlo, Vice Chair
Mr. Joseph C. Arrascada
Dr. Mark W. Doubrava
Dr. Jason Geddes
Mr. Donald Sylvantee McMichael Sr.

Other Regents Present:

Mrs. Cathy McAdoo, Board Chair
Mr. Byron Brooks
Ms. Amy J. Carvalho
Ms. Laura E. Perkins
Dr. Lois Tarkanian

Others Present:

Dr. Melody Rose, Chancellor
Ms. Crystal Abba, Vice Chancellor, Academic and Student Affairs
Dr. Constance Brooks, Vice Chancellor, Public Affairs and Advancement
Mr. Caleb Cage, Vice Chancellor, Workforce Development
Mr. Andrew Clinger, Chief Financial Officer
Ms. Keri Nikolajewski, Interim Chief of Staff to the Board
Mr. Joe Reynolds, Chief General Counsel
Ms. Tina Russom, Deputy General Counsel
Mr. Joe Sunbury, Chief Internal Auditor
Dr. Federico Zaragoza, President, CSN
Dr. Kumud Acharya, President, DRI
Dr. DeRionne P. Pollard, President, NSC
Dr. Karin M. Hilgersom, President, TMCC
Dr. Keith E. Whitfield, President, UNLV
Dr. Vincent R. Solis, President, WNC

5. Approved – Change in Leadership, NSHE The Committee recommended approval of the report and institutional response to the NSHE Change in Leadership audit for the period July 1, 2019, through August 31, 2020. (Ref. A-5 on file in the Board Office.)

Chief Internal Auditor Sunbury highlighted control improvements in:

- Contract management
- Monitoring of capital projects
- Equipment inventory

Regent McMichael moved approval of the report and institutional response to the NSHE Change in Leadership audit for the period July 1, 2019, through August 31, 2020. Regent Doubrava seconded. Motion carried.

6. Approved – Change in Leadership, UNLV – The Committee recommended approval of the report and institutional response to the UNLV Change in Leadership audit for the period July 1, 2019, through August 31, 2020. (Ref. A-6 on file in the Board Office.)

Chief Internal Auditor Sunbury reported no material findings were noted.

Regent McMichael moved approval of the report and institutional response to the UNLV Change in Leadership audit for the period July 1, 2019, through August 31, 2020. Regent Geddes seconded.

In response to a question from Regent Arrascada, Chief Internal Auditor Sunbury stated it was a clean audit.

Chair Carter noted he could not recall any audit that did not have findings.

Motion carried.

7. Approved – Hosting, UNLV – The Committee recommended approval of the report and institutional response to the UNLV Hosting audit for the period July 1, 2019, through August 31, 2020. (Ref. A-7 on file in the Board Office.)

Chief Internal Auditor Sunbury highlighted control improvements in:
Documentation

Regent Arrascada moved approval of the report and institutional response to the UNLV Hosting audit for the period July 1, 2019, through August 31, 2020. Regent McMichael seconded. Motion carried.

8. Approved –Internal Audit Department Work Plan and Status Report, NSIT
The Committee recommended approval of the Internal Audit Work Plan for the six-month period ending December 31, 2021, and the Internal Audit Department Status Report (previously called the Audit Exception Report) for the six-month period ended June 30, 2021. (Ref. A-8 on file in the Board Office.)

Chief Internal Auditor Sunbury noted a commitment from his department to continue internal and external training. As a result, training hours were higher since the Department took advantage of some training through the Finance Department.

Themes for the Internal Audit Department Work Plan include:

- Utilize feedback from key stakeholders
- Focus on process and control improvement
- Increased collaboration to work efficiently and effectively
- Frequent conversations with other assurance providers
- Cost savings measures

Chief Internal Auditor Sunbury reported the five-year trend in unresolved findings is not in the single digits. However, there was a slight increase due to the COVID pandemic.

Chair Carter acknowledged the huge accomplishment and thanked the Presidents.

Regent Doubrava moved approval of the Internal Audit Work Plan for the six-month period ending December 31, 2021, and the Internal Audit Department Status Report (previously called the "Audit Excep()]TJ -0.004 wunticg

Audit, Compliance and Title IX Committee Minutes